



Notice of a public meeting of

Audit and Governance Committee

- To:** Councillors Fisher (Vice-Chair), Lomas (Chair), Wann, Webb, Musson, Cuthbertson and Baker
- Date:** Wednesday, 29 June 2022
- Time:** 5.30 pm
- Venue:** The George Hudson Board Room - 1st Floor West Offices (F045)

AGENDA

1. Declarations of Interest

At this point in the meeting, Members are asked to declare any disclosable pecuniary interest or other registerable interest they might have in respect of business on this agenda, if they have not already done so in advance on the Register of Interests.

2. Minutes (Pages 1 - 6)

To approve and sign the minutes of the meeting held on 6 April 2022.

3. Public Participation

At this point in the meeting members of the public who have registered to speak can do so. Please note that our registration deadlines have changed to 2 working days before the meeting, in order to facilitate the management of public participation at our meetings. The deadline for registering is **5.00pm on 27 June 2022**. Members of the public can speak on agenda items or matters within the remit of the committee.

To register to speak please visit www.york.gov.uk/AttendCouncilMeetings to fill in an online registration form. If you have any questions about the registration form or the meeting please contact the Democracy Officer for the meeting whose details can be found at the foot of the agenda.

Webcasting of Public Meetings

Please note that, subject to available resources, this public meeting will be webcast including any registered public speakers who have given their permission. The public meeting can be viewed on demand at www.york.gov.uk/webcasts. During coronavirus, we've made some changes to how we're running council meetings. See our coronavirus updates (www.york.gov.uk/COVIDDemocracy) for more information on meetings and decisions.

Written representations in respect of items on this agenda should be submitted to Democratic Services by **5.00pm** on **27 June 2022**.

4. Corporate Governance Report (Pages 7 - 22)

This report provides Members with updates in respect of:

- Corporate Governance performance indicators update
- Information Commissioners Office (ICO) published decision notices
- Ombudsman cases from last report in April 2022 to date of preparing this report on 16th June 2022.
- NHS Digital data security and protection toolkit

5. Annual report of the head of internal audit (Pages 23 - 60)

This report includes the Annual Report of the Head of Internal Audit, which summarises internal audit work undertaken in 2021/22 and provides an opinion on the overall adequacy and effectiveness of the council's framework of governance, risk management and internal control. An annual report summarising counter fraud activity and performance is also included.

6. Treasury Management Outturn (Pages 61 - 76)

Audit & Governance Committee are responsible for ensuring effective scrutiny of the treasury management strategy and policies,

as stated in the Treasury Management Strategy 2020/21 approved by full Council on 17 February 2021.

7. Statement of Accounts 2021/22 (Pages 77 - 242)

The purpose of this report is to present for information the draft 2021/22 Statement of Accounts before they are audited.

8. Mazars Audit Strategy Memorandum (Pages 243 - 280)

The paper attached at Annex A is the Audit Progress Report from Mazars which communicates their audit approach, highlights significant audit risks and areas of key judgements.

9. Audit and Governance Work Plan (Pages 281 - 284)

To receive a plan of reports currently expected to be presented to future meetings of the Committee up to March 2023.

10. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

This information can be provided in your own language.

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

**Ta informacja może być dostarczona w twoim
własnym języku. (Polish)**

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 (01904) 551550